

# Summary of materials for Hitotsubashi University Open Access Policy information session

October 2017

This document is an excerpted translation of the materials for the information session about Hitotsubashi University Open Access Policy. It aims to elucidate how to enforce the “Hitotsubashi University Open Access Policy.” For details, please refer to “Key Points for the Enforcement of Hitotsubashi University Open Access Policy” (in Japanese).

## **What is open access?**

Open access is allowing anyone to access and re-use academic articles over the Internet for free. The significance of open access is that it widely disseminates a university's research capabilities throughout the world, promotes interdisciplinary research innovation, and gives the results of this research back to society.

## **What are the global trends?**

- In the United States, a 2008 law made open access to research outputs that were obtained using grants from the National Institute of Health (NIH) compulsory.
- In the United Kingdom, the Research Councils UK (RCUK) released its open access policy in 2012, which mandates the submission of papers to open access journals.
- In Japan, the Council for Science, Technology proposed in 2016 that as a general rule the research outputs (papers and the research data used as evidence for papers) achieved using public research funds should be open access.

## **What is the situation at other universities in Japan?**

Since 2008, several other universities and research institutions in Japan such as Hokkaido University have decided on open access policies. In April of 2015, people noticed that Kyoto University had implemented “Kyoto University open access policy.” Since then, the number of universities such as the University of Tsukuba, Kyushu University, and Nagoya University that are developing open access policies has increased.

### **What is the intended purpose of Hitotsubashi University Open Access Policy? (See Article 1)**

Hitotsubashi University declared this intention both inside and outside of the university in order to promote the voluntary accumulation of, and the provision of open public access to, research outputs by the faculty members.

### **What is Hitotsubashi University doing? (See Article 1)**

Hitotsubashi University provides open public access to journal articles and departmental bulletin papers that are the research outputs by the faculty members using Hitotsubashi University Repository (HERMES-IR).

### **For Submission of Research Outputs and Deposit in the Repository**

<b>When ?</b>	<ul style="list-style-type: none"><li>• When the publisher accepts the submitted manuscript for publication</li></ul>
<b>Who ?</b>	<ul style="list-style-type: none"><li>• Full-time faculty of the University (professors, associate professors, assistant professors, research associates and assistants)</li></ul>
<b>What ?</b>	<ul style="list-style-type: none"><li>• Obtain the prior consent of the co-authors, and</li><li>• Submit an electronic file of the author's final version of the journal article or departmental bulletin paper</li></ul>
<b>How ?</b>	<ul style="list-style-type: none"><li>• Via Hitotsubashi Researchers Information (HRI) or email</li><li>• To HERMES-IR Operations Council Secretariat</li></ul>

### **What does the HERMES-IR Operations Council Secretariat do?**

- Verification of the copyrights by the publisher
  - ✓ Advisability of open access in institutional repositories
  - ✓ Permitted version of open access (published version or author's final version)
  - ✓ Embargo period
  - ✓ Other necessary conditions such as links to formal publications and copyright notices

- Registration for HERMES-IR
  - ✓ Input bibliographic data of the work
  - ✓ Create PDF files from other digital files (word or Ichitaro files) as necessary
  - ✓ Digitize paper documents as necessary

#### **What about the copyrights? (See Article 2)**

The copyrights of the research outputs are not transferred to the university.

The copyright holder prior to registration shall retain the copyrights.

#### **What are the exceptions to open access? (See Article 3)**

In which circumstances will research outputs not be posted in HERMES-IR?

- ✓ Cases when permission cannot be obtained from the publishers
- ✓ Cases when the consent of joint authors cannot be obtained
- ✓ Cases when the author wants to refrain from posting a different version than the published version

#### **When will the OA policy go into effect? (See Article 4 and 7)**

- The OA policy goes into effect as of April 1st, 2018.
- It will not apply to journal articles or departmental bulletin papers that were published or accepted prior to its enactment.

#### **For inquiries**

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